

## ATTACHMENT 5

### Overall DBE Three-Year Goal Methodology

**Name of Recipient:** Port of Orcas, Orcas Island Airport (ORS)

**Goal Period:** FY 2021-2022-2023 (October 1, 2020 through September 30, 2023)

**DOT-assisted contract amount:**

Overall Funding FY 2021-2023			
Year	Grant Amount	Match Amount	TOTAL
FY 2021	\$ 351,000	\$0	\$ 351,000
FY 2022	\$ 247,500	\$ 27,500	\$ 275,500
FY 2023	\$0	\$0	\$0
Total	\$ 598,500	\$ 27,500	\$ 626,000

**Overall Three-Year Goal:** 6.43%, to be accomplished through 6.43% RC (Race Conscious) and 0% RN (Race Neutral)

Overall Goal Calculation – Three Year Goal 2021, 2022, 2023			
Year	Amount	DBE Amount	DBE %
FY 2021	\$351,000	\$22,093	6.29%
FY 2022	\$247,500	\$16,393	6.62%
FY 2023	\$0	\$0	N/A%
<i>Overall</i>	<i>\$598,500</i>	<i>\$38,486</i>	<i>6.43%</i>

**Total dollar amount to be expended on DBEs:** \$38,486

**Describe the Number and Type of Contracts that the airport anticipates awarding:**

Contracts Fiscal Year 2021

1. PAPI 34 Improvements – Design & Construction - \$351,000

Contracts Fiscal Year 2022

1. Property Acquisition
  - a. Excluded: Property Purchase - \$1,170,000
  - b. Included: Engineering Services in support of Property Acquisition by Aviation Planning Consultant - \$27,000
2. Drainage Study, Environmental, and Planning - \$220,500

Contracts Fiscal Year 2023

1. Carry Over

**Market Area:** Orcas Island Airport is located in the city of Eastsound, Washington in the northwest corner of the state and resides in San Juan County.

The west region identified in the Washington State Disparity 2019 study contains the counties of: San Juan, Clallam, Grays Harbor, Jefferson, Lewis, Mason, and Pacific.

**Step 1.** Actual relative availability of DBEs

The base figure for the relative availability was calculated as follows using the Washington State Airports Disparity Study 2019:

A review was conducted of the Washington State Airports Disparity Study data for the West Region which covers the market area identified above. The designated market area includes San Juan County in addition to the area surrounding the airport specific project site. The appropriate North American Industry Classification Systems (NAICS) Code, which match the prevalent work to be accomplished under federal grant is found in Table E-2 West Region.

Individual DBE goals will be calculated for each Airport Improvement Program (AIP) and General Aviation Entitlement grant issued to the Port in FFY 2021-2023. The individual calculations include both a percentage goal obtained through the Disparity Study and converted to a dollar goal based on the disparity percentage and the total grant amount for the type of work identified. The Port added each DBE goal and averaged that goal to calculate an overall DBE fiscal goal.

Method: Washington State Airport Disparity Study 2019

Weighted Availability of DBE firms:

**Fiscal Year #1 (2021) – PAPI 34 Improvements – Design & Construction**  
For FY-2021, award of the following is anticipated:

Orcas Island PAPI 34 Improvements (Design & Const.) - 2021					Disparity Study Percentage		Availability
Step 1 DBE Goal Determination							
NAICS Code #	Certification Type	Cost of Work	Grant Amount	Match Amount	DBE %	DBE \$ for Work	Weighted of Work
<b>Heavy and Civil Engineering Construction</b>							
237310	Highway, Street, and Bridge Construction (AC, PCC, rock, paint)	\$ 60,000.00	\$ 60,000.00	\$ -	6.70%	\$ 4,020.00	1.15%
						\$ -	
<b>Specialty Trade Contractors</b>						\$ -	
238210	Electrical Contractors	\$ 80,000.00	\$ 80,000.00	\$ -	8.50%	\$ 6,800.00	1.94%
238910	Site Preparation (incl. excavation, grading)	\$ 35,000.00	\$ 35,000.00	\$ -	6.50%	\$ 2,275.00	0.65%
541370	Surveying and Mapping	\$ 15,000.00	\$ 15,000.00	\$ -	2.30%	\$ 345.00	0.10%
<b>Consultants</b>						\$ -	
541370	Aerial Survey Mapping	\$ 11,000.00	\$ 11,000.00	\$ -	2.30%	\$ 253.00	0.07%
541330	Engineering Services	\$ 150,000.00	\$ 150,000.00	\$ -	5.60%	\$ 8,400.00	2.39%
<b>Total</b>		<b>\$ 351,000.00</b>	<b>\$ 351,000.00</b>			<b>\$ 22,093.00</b>	<b>6.29%</b>
							<b>6.29%</b>

**Fiscal Year #2 (2022).** Award of the following two projects is anticipated:

1. 2022 Property Acquisition (Aviation Planning Services Contract)
2. 2022 Drainage Study, Environmental & Planning

Orcas Island Property Acquisition - 2022 - Aviation Planning Services Contract

Step 1 DBE Goal Determination					Disparity Study Percentage	Availability	
NAICS Code#	Certification Type	Cost of Work	Grant Amount	Match Amount	DBE %	DBE \$ for Work	Weighted Percent of Work
<b>*Match grant app</b>							
<b>Consultants</b>							
541330	Engineering Services	\$ 30,000.00	\$ 27,000.00	\$ 3,000.00	5.60%	\$ 1,512.00	0.55%
<b>Consultants</b>							
541330	Engineering Services	\$ 185,000.00	\$ 166,500.00	\$ 18,500.00	5.60%	\$ 9,324.00	3.39%
541620	Environmental Services	\$ 35,000.00	\$ 31,500.00	\$ 3,500.00	16.00%	\$ 5,040.00	1.83%
541370	Surveying and Mapping	\$ 25,000.00	\$ 22,500.00	\$ 2,500.00	2.30%	\$ 517.50	0.19%
<b>Total</b>		<b>\$ 275,000.00</b>	<b>\$ 247,500.00</b>	<b>\$ 27,500.00</b>		<b>\$ 16,393.50</b>	<b>6.62%</b>

6.62%

**Fiscal Year #3 (2023)**

For FY-2023 - Carry Over

The base goal projection after weighting is as follows:

- Total Weighted DBE Availability: \$38,486
- Total for All Trades: \$598,500

Dividing the weighted DBE totals by the total estimate for all trades gives a base DBE availability figure for the projects anticipated during the goal-setting period. This figure is expressed as a percentage and serves as the basis for the three-year overall goal.

Base of DBE Goal: **6.43%**

**Step 2: Adjustments to Step 1 base figure**

After calculating a base figure of the relative availability of DBEs, evidence was examined to determine what, if any, adjustment to the base figure was needed in order to arrive at the overall goal determined in Step 1 using the 2019 Washington State Airports Disparity Study.

In review of awarded grants for the 2018-2020 time period shows that projects were awarded in 2019 with no DBE identified at the time of award.

In review of current work, The Aviation Planning Group is a DBE certified firm currently under contract with the Port. The firm was contracted under race neutral means. Participation by this firms will help meet the overall 3-year goal, absent of participation by other DBE firms who might participate in Port federally funded projects.

The evidence does suggest an adjustment may be necessary in the future, however, given that during award no DBE firms were identified, no adjustment is recommended.

The Port is adopting the Step 1 base figure as the overall goal for this three-year goal period based in the information provided in the Disparity Study.

Furthermore, there are no recent legal case information from the relevant jurisdictions to show evidence of barriers to entry or competitiveness of DBEs in the market area that is sufficient to warrant making an adjustment to the base goal.

**Breakout of Estimated “Race and Gender Neutral” (RN) and “Race and Gender Conscious” (RC) Participation.**

The Port will meet the maximum feasible portion of the overall goal by using RC means of facilitating DBE participation.

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE, and other small businesses, participation
2. Carrying out information and communications programs on contracting procedures and specific contract opportunities
3. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors

The Port estimates that in meeting the established overall goal of 6.43%, it will obtain 0% from RN participation and 6.43% through RC measures.

The breakout is based on:

- The Port’s use of the Disparity Study in Step 1 to calculate actual relative availability of DBEs.
- The Port does not have any DBE awards/commitments within the 3-year period of 2018-2019 and expects to obtain its DBE participation through the use of DBE contract goals or a conscious effort to obtain DBE participation. Therefore, the entire goal of 6.43% is to be obtained through race-conscious participation.

The Port will adjust the estimated breakout of RN and RC DBE participation as needed to reflect actual DBE participation and track and report RN and RC participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal, and DBE participation through a subcontract from a prime contractor that did not consider a firm’s DBE status in making the award.

## PUBLIC PARTICIPATION

### **Consultation:**

In establishing the overall goal, the Port provided for consultation and publication. This process included consultation with minority, women's, and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the Port's efforts to establish a level playing field for the participation of DBEs. The consultation included a scheduled, direct, interactive exchange with as many interested stakeholders as possible focused on obtaining information relevant to the goal setting process, and was conducted before the goal methodology was submitted to the operating administration for review. Details of the consultation are as follows.

The consultation engaged in was a teleconference meeting, which was held on **Wednesday, February the 23<sup>rd</sup>, 2022 at 12:30pm via Zoom meeting.**

The following comments were received during the course of the consultation:  
No comments were received.

A notice of the proposed goal was published on the Port's official website before the methodology was submitted to DOT/FAA.

If the proposed goal changes following review by DOT/FAA, the revised goal will be posted on the Port's official website.

Notwithstanding paragraph (f)(4) of §26.45, the Port's proposed goals will not be implemented until this requirement has been met.

## PUBLIC NOTICE

The Port hereby announces its proposed Disadvantaged Business Enterprise (DBE) participation goal of **6.43%** for FAA-funded contracts/agreements. The proposed goal pertains to federal fiscal years 2021 through 2023. A teleconference will be held on **Wednesday, February 23<sup>rd</sup>, 2022 at 12:30pm via Zoom meeting** (Phone: (253) 215-8782, Meeting ID: 825 4056 7535, Passcode: 346987) for the purpose of consulting with stakeholders to obtain information relevant to the goal-setting process.

Comments on the DBE goal will be accepted for 30 days from the date of this publication and can be sent to the following:

Airport Manager  
PO Box 53  
Eastsound WA 98245  
[orcasairport@rockisland.com](mailto:orcasairport@rockisland.com)

AND

Federal Aviation Administration (FAA)  
Office of Civil Rights (Attn: Sonia Cruz)  
PO Box 92007  
Los Angeles, CA 90009